### UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

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POZZOLI SPA and MULTI PACKAGING

**V.** 

Civil Action No. 10-cv-05341-DAB

SOLUTIONS, INC.,

District Judge Deborah A. Batts

Plaintiffs.

Magistrate Judge Theodore H. Katz

AGI MEDIA. INC., MEADWESTVACO CORPORATION, SHOREWOOD PACKAGING CORPORATION, POLY-MATRIX, INC., AGI POLYMATRIX, AGI NORTH AMERICA LLC, AGI POLYMATRIX LLC,

Defendants.

ANSWER, AFFIRMATIVE DEFENSES, AND COUNTERCLAIMS OF SHOREWOOD PACKAGING CORPORATION TO PLAINTIFFS' SECOND AMENDED COMPLAINT

Defendant SHOREWOOD PACKAGING CORPORATION ("Shorewood") hereby answers the Second Amended Complaint ("Complaint") of Plaintiffs POZZOLI SPA and MULTIPACKAGING SOLUTIONS, INC. (collectively "Plaintiffs"):

### THE PARTIES

- Shorewood is without knowledge or information sufficient to form a belief as to 1. the truth of the allegations in paragraph 1 of the Complaint, and therefore denies them.
- Shorewood is without knowledge or information sufficient to form a belief as to 2. the truth of the allegations in paragraph 2 of the Complaint, and therefore denies them.
- Shorewood is without knowledge or information sufficient to form a belief as to 3. the truth of the allegations in paragraph 3 of the Complaint, and therefore denies them.
- 4. Shorewood is without knowledge or information sufficient to form a belief as to the truth of the allegations in paragraph 4 of the Complaint, and therefore denies them.

- 5. Shorewood is without knowledge or information sufficient to form a belief as to the truth of the allegations in paragraph 5 of the Complaint, and therefore denies them.
- 6. Shorewood is without knowledge or information sufficient to form a belief as to the truth of the allegations in paragraph 6 of the Complaint, and therefore denies them.
- 7. Shorewood denies the allegations in paragraph 7 of the Complaint. Shorewood is incorporated under the laws of Delaware, with a place of business at 40 Downing Parkway, Pittsfield, MA 01201.
- 8. Shorewood is without knowledge or information sufficient to form a belief as to the truth of the allegations in paragraph 8 of the Complaint, and therefore denies them.
- 9. Shorewood is without knowledge or information sufficient to form a belief as to the truth of the allegations in paragraph 9 of the Complaint, and therefore denies them.
- 10. Shorewood neither admits nor denies the allegations recited in paragraph 10 of the Complaint, as they do not appear to require a response.

### **JURISDICTION AND VENUE**

- 11. Shorewood admits that this action is purportedly an action for patent infringement, but denies it has committed any act of infringement alleged in the Complaint. Shorewood admits that this Court has subject matter jurisdiction over the subject matter of this action pursuant to 28 U.S.C. §§ 1331 and 1338(a).
- 12. Shorewood admits that this Court has personal jurisdiction over Shorewood, that Shorewood has conducted business in this judicial district, but denies the remaining allegations of paragraph 12.
- 13. Shorewood admits that venue is proper in this district pursuant to 28 U.S.C. §§ 1391(b), (c), and 1400(b), but denies it has committed any act of infringement alleged in the Complaint.

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#### **FACTUAL BACKGROUND**

- 14. Shorewood admits that United States Patent No. 5,743,390 ("the '390 Patent") is entitled "Container for a Plurality of Discs, Particularly for Compact Discs" and bears an issue date of April 28, 1998, but denies that it was duly and legally issued by the United States Patent and Trademark Office. Shorewood lacks knowledge or information sufficient to form a belief about the truth of the remaining allegations in paragraph 14 of the Complaint, and therefore denies them.
  - 15. Shorewood admits the allegations recited in paragraph 15 of the Complaint.
- 16. Shorewood is without knowledge or information sufficient to form a belief as to the truth of the allegations in paragraph 16 of the Complaint, and therefore denies them.
- 17. Shorewood is without knowledge or information sufficient to form a belief as to the truth of the allegations in paragraph 17 of the Complaint, and therefore denies them.

# FIRST COUNT (Infringement Of U.S. Patent No. 5,743,390)

- 18. Shorewood repeats and realleges each and every response contained within the foregoing paragraphs as though fully set forth herein.
- 19. As to Defendant Shorewood, Shorewood denies the allegations in paragraph 19 of the Complaint. As to each of the remaining Defendants, Shorewood is without knowledge or information sufficient to form a belief about the truth of the allegations in paragraph 19 of the Complaint, and therefore denies them.
- 20. As to Defendant Shorewood, Shorewood denies the allegations in paragraph 20 of the Complaint. As to each of the remaining Defendants, Shorewood is without knowledge or information sufficient to form a belief about the truth of the allegations in paragraph 20 of the Complaint, and therefore denies them.

- 21. As to Defendant Shorewood, Shorewood denies the allegations in paragraph 21 of the Complaint. As to each of the remaining Defendants, Shorewood is without knowledge or information sufficient to form a belief about the truth of the allegations in paragraph 21 of the Complaint, and therefore denies them.
  - 22. Shorewood denies the allegations in paragraph 22 of the Complaint.

#### PRAYER FOR RELIEF

These paragraphs set forth the statement of relief requested by Plaintiffs to which no response is required. To the extent an answer is required, Shorewood denies that Plaintiff is entitled to any of the requested relief and denies any such allegations.

### **JURY DEMAND**

This paragraph sets forth Plaintiffs' request for a jury trial to which no response is required. Shorewood also requests a trial by jury on all issues so triable.

### **AFFIRMATIVE DEFENSES**

Shorewood's Affirmative Defenses are below. Shorewood reserves the right to amend its Answer to add additional Affirmative Defenses, including instances of inequitable conduct, consistent with the facts discovered in this case.

# FIRST DEFENSE (No Infringement)

Shorewood has not infringed, directly, contributorily, by inducement, or otherwise, literally or under the doctrine of equivalents, any valid and enforceable claim of the '390 Patent and is not liable for any acts of infringement of any such claim of the '390 Patent.

# SECOND DEFENSE (Patent Invalidity)

The claims of the '390 Patent are invalid under one or more sections of Title 35 of the U.S. Code, including, without limitation, 35 U.S.C. §§ 101, 102, 103 and/or 112.

### THIRD DEFENSE (Failure to State a Claim)

Upon information and belief, the Complaint and each purported claim alleged therein, fail to state facts upon which relief can be granted against Shorewood.

# FOURTH DEFENSE (No Willful Infringement)

Should Shorewood be found to infringe the '390 Patent, such infringement was not willful.

# FIFTH DEFENSE (Estoppel)

Upon information and belief, by reason of proceedings in the U.S. Patent and Trademark.

Office during the prosecution of the applications that resulted in the '390 Patent, including its reexamination, as shown by the file histories, and by reason of the amendment, cancellation or abandonment of claims, and the admissions and other statements made therein by or on behalf of the patentee(s), Plaintiffs are estopped from claiming a construction of the '390 Patent that would cause any valid, enforceable claim thereof to cover or include any method or product manufactured, used, sold, or offered for sale by Shorewood.

# SIXTH DEFENSE (Waiver, Laches and Estoppel)

Plaintiffs' alleged claims regarding the '390 Patent are barred by the doctrines of waiver, laches and/or estoppel.

### **COUNTERCLAIMS**

Counterclaim-Plaintiff Shorewood Packaging Corporation ("Shorewood"), for its Counterclaims against Counterclaim-Defendants Pozzoli SpA ("Pozzoli") and Multi Packaging Solutions, Inc. ("MPS") and upon information and belief, states as follows:

### THE PARTIES

- 1. Shorewood is a Delaware corporation with a place of business at 40 Downing Parkway, Pittsfield, MA 01201.
- 2. Upon information and belief and according to paragraph 1 of its Complaint, "Plaintiff Pozzoli SPA ("Pozzoli") is a firm organized under the laws of Italy, having its registered offices at via G. Di Vittorio 11, Inzago, Milano-Italy."
- 3. Upon information and belief and according to paragraph 2 of its Complaint, "Plaintiff Multi Packaging Solutions, Inc. ("MPS"), is a corporation organized under the laws of Delaware, having its principal place of business at 150 East 52nd Street, new York, NY 10022."

### **JURISDICTION AND VENUE**

- 4. This Court has jurisdiction over the subject matter of these Counterclaims under, without limitation, 28 U.S.C. §§ 1331, 1338, 2201, and 2202, and venue for these Counterclaims is proper in this district under at least 28 U.S.C. § 1391.
- 5. This Court has personal jurisdiction over Pozzoli and MPS because Pozzoli and MPS have submitted themselves to the personal jurisdiction of this Court by commencing this action.

## **COUNT ONE**(Declaratory Judgment of Non-Infringement of the '390 Patent)

- 6. An actual case or controversy exists between Shorewood, and Pozzoli and MPS as to whether United States Patent No. 5,743,390 ("the '390 Patent") is not infringed by Shorewood.
- 7. A judicial declaration is necessary and appropriate so Shorewood may ascertain its rights regarding the '390 Patent.

8. Shorewood has not infringed, directly, contributorily, by inducement, or otherwise, literally or under the doctrine of equivalents, any valid and enforceable claim of the '390 Patent and is not liable for any acts of infringement of any such claim of the '390 Patent.

# COUNT TWO (Declaratory Judgment of Invalidity of the '390 Patent)

- 9. Shorewood restates and incorporates by reference its allegations in the previous paragraphs in these Counterclaims.
- 10. An actual case or controversy exists between Shorewood, and Pozzoli and MPS as to whether the claims of the '390 Patent are invalid.
- 11. A judicial declaration is necessary and appropriate so Shorewood may ascertain its rights as to whether the claims of the '390 Patent are invalid.
- 12. The claims of the '390 Patent are invalid for failure to meet the conditions of patentability and/or otherwise comply with one or more sections of Title 35 of the U.S. Code, including, without limitation, 35 U.S.C. §§ 101, 102, 103 and/or 112.

### PRAYER FOR RELIEF

WHEREFORE, Shorewood prays for judgment as follows:

- A judgment dismissing with prejudice Pozzoli and MPS's Complaint against
   Shorewood and ordering that Pozzoli and MPS take nothing by their claims;
- b. A judgment in favor of Shorewood on all of its Counterclaims;
- c. A declaration that Shorewood has not infringed directly, contributorily, by inducement, or otherwise, literally or under the doctrine of equivalents, any valid and enforceable claim of the '390 Patent and is not liable for any acts of infringement of any such claim of the '390 Patent;
- d. A declaration that the claims of the '390 Patent are invalid;

- e. An award of costs to Shorewood pursuant to 35 U.S.C. § 284;
- f. A finding that this is an exceptional case pursuant to 35 U.S.C. § 285 and an award to Shorewood of its reasonable attorney fees; and
- g. Such other and further relief as this Court deems just and proper.

## **JURY DEMAND**

Shorewood hereby demands a trial by jury for all issues so triable.

Dated: New York, New York November 24, 2010

#### McDERMOTT WILL & EMERY LLP

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